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**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.  
DP-300320

In Re Application Of: YAN, ET AL.

APR 11 2001  
PATENT & TRADEMARK OFFICE

Serial No.

747,753

Filing Date

DECEMBER 22, 2000

Examiner

Group Art Unit

Title: **REVERSE-LAYERED, CATALYZED ADSORBER SYSTEM**

Address to:

Assistant Commissioner for Patents  
Washington, D.C. 20231

**37 CFR 1.97(b)**

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application; within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or before the mailing date of a first Office Action on the merits, whichever event occurs last.

**37 CFR 1.97(c)**

2. ☐ The Information Disclosure Statement submitted herewith is being filed after three months of the filing of a national application, or the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or after the mailing date of a first Office Action on the merits, whichever occurred last but before the mailing date of either:

1. a Final Action under 37 CFR 1.113, or
  2. a Notice of Allowance under 37 CFR 1.311,
- whichever occurs first.

Also submitted herewith is:

- ☐ a certification as specified in 37 CFR 1.97(e);

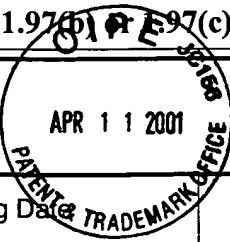
**OR**

- ☐ the fee set forth in 37 CFR 1.17(p) for submission of an Information Disclosure Statement under 37 CFR 1.97(c).

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**Payment of Fee**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of \_\_\_\_\_ is attached.
- ☒ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. 50-0831 as described below. A duplicate copy of this sheet is enclosed.
- ☐ Charge the amount of \_\_\_\_\_
- ☐ Credit any overpayment.
- ☒ Charge any additional fee required.

**Certificate of Transmission by Facsimile\***

I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (Fax. No. \_\_\_\_\_) on \_\_\_\_\_

(Date)

Signature

Typed or Printed Name of Person Signing Certificate

**Certificate of Mailing by First Class Mail**

I certify that this document and fee is being deposited on **APRIL 9, 2001** with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

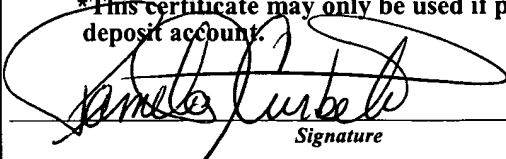
Signature of Person Mailing Correspondence

SONIA MANCINI

Typed or Printed Name of Person Mailing Correspondence

\*This certificate may only be used if paying by deposit account.

Dated: **APRIL 9, 2001**

  
Signature  
**PAMELA J. CURBELO**  
REGISTRATION NO. 34,676  
CUSTOMER NO. 23413  
(860) 286-2929

CC:

<b>INFORMATION DISCLOSURE CITATION</b> <i>(Use several sheets if necessary)</i>				ATTY DOCKET NO. <b>DP-300320</b>		SERIAL NO. <b>09/747,753</b>	
				YAN, ET AL.			
				FILING <b>DECEMBER 22, 2000</b>		GROUP	

U.S. PATENT DOCUMENTS							
*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
		3,833,499	09/03/74	EGAN, ET AL.			
		4,151,121	04/24/79	GLADROW			
		4,711,770	12/08/87	SKEELS, ET AL.			
		4,882,307	11/21/89	TSAO			
		4,985,210	01/15/91	MINAMI			
		5,051,244	09/24/91	DUNNE, ET AL.			
		5,078,979	01/07/92	DUNNE			
		5,168,085	12/01/92	ADDIEGO, ET AL.			
		5,179,053	01/12/93	SUBRAMANIAN, ET AL.			
		5,273,945	12/28/93	DES COURIERES, ET AL.			
		5,278,113	01/11/94	ONO, ET AL.			

FOREIGN PATENT DOCUMENTS								
		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO

OTHER DOCUMENTS <i>(Including Author, Title, Date, Pertinent Pages, Etc.)</i>			

EXAMINER	DATE CONSIDERED
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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U.S. PATENT DOCUMENTS							
*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
		5,354,720	10/11/94	LEYRER, ET AL.			
		5,447,694	09/05/95	SWAROOP, ET AL.			
		5,459,119	10/17/95	ABE, ET AL.			
		5,510,086	04/23/96	HEMINGWAY, ET AL.			
		5,531,068	07/02/96	KASS, ET AL.			
		5,662,869	09/02/97	ABE, ET AL.			
		5,772,972	06/30/98	HEPBURN, ET AL.			

FOREIGN PATENT DOCUMENTS										
*	.	.	.	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
									YES	NO

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